

MEMORANDUM

To: File No. S7-16-18
From: Kimberly Hamm, Office of Chairman Jay Clayton
Date: September 9, 2020
Re: Meeting with representatives of Kohn, Kohn & Colapinto, LLP

On September 9, 2020, Sean Memon, Kim Hamm and Aleah Borghard of the Office of the Chairman met with Stephen Kohn and Siri Nelson. Among other things, the meeting participants discussed the SEC's proposed rule amendments relating to the whistleblower program.