

MEMORANDUM

TO: File No: S7-16-18

FROM: Prashant Yerramalli
Office of Commissioner Robert J. Jackson, Jr.
U.S. Securities and Exchange Commission

DATE: October 8, 2019

RE: Discussion with Jordan Thomas and Sean X. McKessy

On October 2, 2019, Commissioner Jackson and his counsels Prashant Yerramalli, Janna Berke and Caroline Crenshaw met with Jordan Thomas of Labaton Sucharow LLP and Sean X. McKessy of Phillips & Cohen LLP. Among the topic discussed were the proposed amendments to the Commission's whistleblower rules.

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TO: File No: S7-16-18

FROM: Prashant Yerramalli
Office of Commissioner Robert J. Jackson, Jr.
U.S. Securities and Exchange Commission

DATE: October 10, 2019

RE: Discussion with Stephen Kohn, Sherron Watkins, John Kostyack, David Colapinto and Siri Nelson

On October 10, 2019, Commissioner Jackson and his counsels Prashant Yerramalli and Caroline Crenshaw met with Stephen Kohn, David Colapinto, and Siri Nelson of Kohn, Kohn & Colapinto LLP and Sherron Watkins and John Kostyack of the National Whistleblower Center. Among the topic discussed were the proposed amendments to the Commission's whistleblower rules.

MEMORANDUM

TO: File No: S7-16-18

FROM: Prashant Yerramalli
Office of Commissioner Robert J. Jackson, Jr.
U.S. Securities and Exchange Commission

DATE: October 14, 2019

RE: Discussion with Jordan Thomas

On October 14, 2019, Commissioner Jackson and his counsel Prashant Yerramalli met with Jordan Thomas of Labaton Sucharow LLP. Among the topic discussed were the proposed amendments to the Commission's whistleblower rules.

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TO: File No: S7-16-18

FROM: Prashant Yerramalli
Office of Commissioner Robert J. Jackson, Jr.
U.S. Securities and Exchange Commission

DATE: October 31, 2019

RE: Discussion with Public Citizen

On October 31, 2019, Commissioner Jackson and his counsels Prashant Yerramalli and Haley Sylvester met with Bart Naylor, Rachel Curley, and Shanna Devine of Public Citizen. Among the topic discussed were the proposed amendments to the Commission's whistleblower rules.

MEMORANDUM

TO: File No: S7-16-18

FROM: Prashant Yerramalli
Office of Commissioner Robert J. Jackson, Jr.
U.S. Securities and Exchange Commission

DATE: December 5, 2019

RE: Discussion with Stephen Kohn and Siri Nelson

On December 5, 2019, Prashant Yerramalli and Caroline Crenshaw, both counselors to Commissioner Jackson met with Stephen Kohn and Siri Nelson of Kohn, Kohn & Colapinto LLP. Among the topic discussed were the proposed amendments to the Commission's whistleblower rules.