

MEMORANDUM

To: File No. S7-16-18

From: Brian Ochs
Office of the General Counsel

Date: December 11, 2019

Re: Meeting with Representatives of Kohn, Kohn & Colapinto, LLP

On December 11, 2019, staff of the Securities and Exchange Commission (“SEC”) participated in a meeting with representatives of Kohn, Kohn & Colapinto, LLP (“KKP”). The SEC staff members present were Laura Jarsulic, Stephen Yoder, and Brian Ochs from the Office of the General Counsel, and Sean Memon, Kristina Littman and Aleah Borghard from the Office of the Chairman. Representatives of KKP present were Stephen Kohn and Siri Nelson.

The participants discussed, among other things, the SEC’s proposed rule amendments relating to the whistleblower program.