

MEMORANDUM

To: File No. S7-16-18

From: Kristina Littman, Senior Advisor to the Chairman

Re: Meeting with Jordan Thomas

Date: October 23, 2019

On October 23, 2019, Chairman Jay Clayton, Sean Memon, Stephanie Avakian and Kristina Littman met with Jordan Thomas of Labaton Sucharow by phone. The topic of the discussion was the SEC's proposed rule amendments relating to the whistleblower program.