

MEMORANDUM

To: File No. S7-16-18

From: Andrew Feller
Counsel to Commissioner Allison Herren Lee

Date: October 11, 2019

Re: Meeting with Stephen Kohn (of Kohn, Kohn and Colapinto), Sherron Watkins, John Kostyack (of the National Whistleblower Center (“NWC”)), and Siri Nelson (NWC)

On October 10, 2019, Commissioner Allison Herren Lee, Andrew Feller, and Katherine Kelly met with the individuals identified above. The topic of the discussion was the SEC’s proposed rule amendments relating to the whistleblower program.