MEMORANDUM

To: File No. S7-16-18

From: Sean Memon, Chief of Staff to Chairman Jay Clayton

Re: Meeting with Jordan Thomas and Sean McKessy

Date: October 9, 2019

On October 8, 2019, Sean Memon and Kristina Littman met with Jordan Thomas of Labaton Sucharow and Sean McKessy of Phillips & Cohen. The topic of the discussion was the SEC's proposed rule amendments relating to the whistleblower program.