

MEMORANDUM

To: File No. S7-16-18

From: Brian Ochs
Office of the General Counsel

Date: December 17, 2018

Re: Meeting with Representatives of the National Whistleblower Center (“NWC”)

On December 12, 2018, staff of the Securities and Exchange Commission (“SEC”) participated in a meeting with representatives of the NWC. The SEC staff members present were Laura Jarsulic, Stephen Yoder, Thomas Karr, Matthew Ferguson, and Brian Ochs from the Office of the General Counsel, Jane Norberg from the Office of the Whistleblower, and Sean Memon and Kristina Littman from the Office of the Chairman. Representatives of the NWC present were Stephen Kohn, Maya Efrati, and Sarah Khan.

The participants discussed, among other things, the SEC’s proposed rule amendments relating to the whistleblower program.