

MEMORANDUM

TO: Files on Titles IV, VI, and VII of the Dodd-Frank Wall Street Reform and Consumer Protection Act (“Dodd-Frank Act”)

FROM: Christian L. Broadbent
Counsel to Commissioner Elisse B. Walter

DATE: September 9, 2010

RE: Meeting with Representatives of the Alternative Investment Management Association (“AIMA”)

On September 8, 2010, Commissioner Walter, along with Christian Broadbent and Brian Murphy of Commissioner Walter’s office, met with representatives of AIMA and discussed generally the implementation of Titles IV, VI, and VII of the Dodd-Frank Act.

A list of meeting participants and agenda are attached to this Memorandum.



Alternative Investment Management Association

Meetings to be held with
Securities & Exchange Commission (SEC)
and
Alternative Management Investment Association Limited (AIMA)

September 8, 9 and 10, 2010

SEC Commissioners and Staff

Elisse Walter, Commissioner
Luis Aguilar, Commissioner
Tony Paredes, Commissioner
Kathleen Casey, Commissioner
Buddy Donohue, Director of the Division of Investment Management
David Vaughan, Division of Investment Management
Carlo Di Florio, Director of the Office of Compliance Inspections and Examinations (OCIE)

AIMA Staff

Todd Groome, Chairman
Andrew Baker, Chief Executive Officer
Jiri Krol, Director of Policy & Government Affairs
Stewart Hall, Policy Advisor

Agenda

- A. Multiple registration
- B. Periodic reporting and consistency
- C. Incentive-based compensation / remuneration
- D. OTC derivatives
- E. Volcker rule

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