

## MEMORANDUM

**TO:** File No. S7-15-19

**FROM:** Adam T. Moore, Senior Counsel  
Office of the Investor Advocate  
U.S. Securities and Exchange Commission

**DATE:** December 17, 2019

**RE:** Meeting with Representatives of Healthy Markets Association

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On Tuesday, December 10, 2019, Marc Sharma, Chief Counsel, John Foley, Senior Counsel, Alexandra Ledbetter, Senior Counsel, Adam T. Moore, Senior Counsel, and Morgan Tangney, Senior Counsel, from the Office of the Investor Advocate met with representatives from Healthy Markets Association, including Tyler Gellasch, Executive Director, and Chris Nagy, Research Director, along with James Andrus (CALPERS), David Brooks (The London Company of Virginia), John Burkhartzmeyer (State of Wisconsin Investment Board), Ben Connault (Investors Exchange), Barbara Francis (Dash Financial), Michael Ledwith (Quantitative Investment Management), and Eric Perlish (Sands Capital Management).

Among the topics discussed was the proposed rule for the Rescission of Effective-Upon-Filing Procedure for NMS Plan Fee Amendments in File No. S7-15-19.