

MEMORANDUM

TO: File No.

FROM: Andrew Feller
Counsel to Commissioner Allison Herren Lee

RE: Meeting with Representatives of State Street

DATE: July 15, 2019

On July 15, 2019, Commissioner Allison Herren Lee and her legal advisor, Andrew Feller, met with the following representatives of State Street:

- Cyrus Taraporevala, President and CEO, State Street Global Advisors;
- James E. Ross, Executive Vice President, Chairman of Global SPDR Business, State Street Global Advisors;
- Kate McKinley, General Counsel, State Street Global Advisors;
- Joseph J. Barry, Senior Vice President, Public Policy and Government Affairs, State Street;
- Steven R. Patterson, Managing Director, Public Policy and Government Affairs, State Street; and
- Erik Johnson, Daly Consulting Group.

The participants discussed, among other things, the Commission's proposed new rule, 6c-11 under the Investment Company Act, in Investment Company Act Release No. 33140 (June 28, 2018).