

## MEMORANDUM

**To:** File No. S7-15-18

**From:** Eric Diamond, Senior Advisor to Chairman Jay Clayton

**Re:** Meeting with Representatives of Vanguard

**Date:** March 11, 2019

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On December 18, 2018, Chairman Jay Clayton, Lucas Moskowitz (Chief of Staff), and Alan Cohen (Senior Policy Advisor to the Chairman) met with the following representatives of Vanguard:

- Ryan Ludt (Principal, Capital Markets and Broker Relations)
- Josh Barrickman (Principal, Head of Fixed Income Indexing, Americas)
- Dan Goldman (Assistant Portfolio Manager, Fixed Income Index)
- Chuch Thomas (ETF Capital Markets)
- Brian Murphy (Senior Counsel)
- Jillien Flores (Government Relations)

The meeting participants discussed, among other things, the SEC's proposed rule and form amendments intended to modernize the regulatory framework for exchange-traded funds.