

## MEMORANDUM

**TO:** File No. S7-15-18

**FROM:** John Foley  
Senior Counsel, Division of Investment Management

**RE:** Meeting with Representatives of Nasdaq, Inc.

**DATE:** February 27, 2019

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On February 27, 2019, Dalia Blass (Director, Division of Investment Management (“IM”)), Michael Coe (Assistant Director, Division of Trading and Markets (“TM”)), Matthew DeLesDernier (Senior Counsel, IM), Tim Dulaney (Senior Financial Analyst, IM), John Foley (Senior Counsel, IM), Melissa Gainor (Senior Special Counsel, IM), Tim Husson (Associate Director, IM), Molly Kim (Assistant Director, TM), Jay Krawitz (Branch Chief, IM), Daniele Marchesani (Assistant Chief Counsel, IM), Brett Redfearn (Director, TM), David Shillman (Associate Director, TM), and Sarah ten Siethoff (Associate Director, IM) met with the following representatives of Nasdaq, Inc.:

- Jonathan Cayne
- Tal Cohen
- Ed Coughlin
- Jeff Davis
- Ben Haskell
- Brett Kitt
- Heinrich Lutjens
- Phil Mackintosh
- Steve Oh
- Carlos Pelaez
- John Zecca

The participants discussed, among other things, the SEC’s proposal relating to exchange-traded funds.