

MEMORANDUM

To: File No. S7-15-18

From: Joel Cavanaugh
Division of Investment Management

Date: December 4, 2018

Re: Meeting with Representatives of NYSE Arca, Inc. (“NYSE”)

On December 3, 2018, representatives of the Securities and Exchange Commission (“SEC”) participated in a meeting with representatives of NYSE. The SEC representatives present in person were Sarah ten Siethoff, Daniele Marchesani, Brian Johnson, Melissa Gainor, Jacob Krawitz, Kay-Mario Vobis, and Joel Cavanaugh from the Division of Investment Management, and Michael Coe, Sharon Lawson, and Kelly Shoop from the Division of Trading and Markets. The NYSE representatives present in person were Douglas Yones and Hope Jarkowski.

The participants discussed, among other things, the SEC’s proposal relating to exchange-traded funds.