

MEMORANDUM

To: File No. S7-15-18

From: Jacob D. Krawitz  
Division of Investment Management

Date: November 30, 2018

Re: Meeting with Representatives of Fidelity Investments (“Fidelity”)

On November 29, 2018, representatives of the Securities and Exchange Commission participated in a meeting with representatives from Fidelity. The SEC representatives were Paul Cellupica, Sarah ten Siethoff, Naseem Nixon, Melissa Gainor, Jacob Krawitz, and Christian Broadbent. The Fidelity representatives were Gregory Friedman, Michael Palek, Jim Febeo, and Jason Goggins.

Among other things, the participants discussed the SEC’s proposal relating to exchange-traded funds.