

MEMORANDUM

To: File No. S7-15-18

From: Eric Diamond, Senior Advisor to Chairman Jay Clayton

Re: Meeting with Representatives of Fidelity Investments

Date: November 29, 2018

On November 29, 2018, Alan Cohen (Senior Policy Advisor to Chairman Clayton) and Eric Diamond (Senior Advisor to Chairman Clayton) met with the following representatives of Fidelity Investments:

- Jim Febeo, Head of Government Relations
- Greg Friedman, SVP and Head of ETF Strategy
- Michael Palek, VP and Associate General Counsel
- Jason Goggins, VP, Government Relations

The meeting participants discussed, among other things, the SEC's proposed rules governing exchange-traded funds.