

## MEMORANDUM

**TO:** File No. S7-15-18

**FROM:** Adam B. Glazer  
Counsel to Commissioner Hester M. Peirce

**RE:** Meeting with Representatives of State Street

**DATE:** September 27, 2018

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On September 26, 2018, Commissioner Hester M. Peirce and her legal advisor, Adam Glazer, met with the following representatives of State Street:

- Cyrus Taraporevala, President and CEO, State Street Global Advisors;
- James E. Ross, Executive Vice President, Chairman of Global SPDR Business, State Street Global Advisors;
- Phillip S. Gillespie, Executive Vice President, General Counsel, State Street Global Advisors;
- Joseph J. Barry, Senior Vice President, Public Policy and Government Affairs, State Street;
- Steven R. Patterson, Managing Director, Public Policy and Government Affairs, State Street; and
- Justin Daly, Daly Consulting Group.

The participants discussed, among other things, the Commission's proposed new rule, 6c-11 under the Investment Company Act, in Investment Company Act Release No. 33140 (June 28, 2018).