

## MEMORANDUM

**To:** Public Comment File on ETF Rule (File No. S7-15-18) and Transaction Fee Pilot (File No. S7-05-18)

**From:** Elizabeth Blase, Counsel to Commissioner Elad L. Roisman

**Re:** Meeting with Representatives of State Street Financial Services Inc. (“State Street”)

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On September 26, 2018, Commissioner Elad L. Roisman and Elizabeth Blase, Counsel to Commissioner Roisman, met with the following representatives from State Street:

- Cyrus Taraporevala, CEO, State Street Global Advisors;
- Phil Gillespie, Executive Vice President and General Council, State Street Global Advisors;
- Jim Ross, Executive Vice President of State Street Global Advisors and Chairman of Global SPDR;
- Joe Barry, Senior Vice President, State Street;
- Steve Patterson Managing Director, State Street; and
- Justin Daly of Daly Consulting.

The participants discussed a number of issues, including the Commission’s proposed rules governing exchange traded funds and a transaction fee pilot.