

MEMORANDUM

To: File No. S7-15-18

From: Jacob Krawitz
Division of Investment Management

Date: August 1, 2018

Re: Meeting with Representatives of NASDAQ

On August 1, 2018, Jacob Krawitz (U.S. Securities and Exchange Commission (“SEC”), Division of Investment Management (“IM”)), Melissa Gainor (IM), Michael Coe (SEC, Division of Trading and Markets (“TM”)), David Dimitriou (TM), Thomas Eady (TM), Molly Kim (TM), Emerald Greywoode (TM), Arisa Kettig (TM), Laura Gold (TM), John Guidroz (TM), Benjamin Bernstein (TM), Edward Cho (TM), Cristie March (TM), Christopher Chow (TM), Kristie Diemer (TM) and Sarah Schandler (TM) met with the following representatives of NASDAQ:

- Ed Coughlin, NASDAQ
- Carlos Pelaez, NASDAQ
- Ben Haskell, NASDAQ
- Phil Mackintosh, NASDAQ
- Steve Oh, NASDAQ
- Tal Cohen, NASDAQ
- John Zecca, NASDAQ
- Brett Kitt, NASDAQ
- Vannyda Kang, NASDAQ
- Jonathan Cayne, NASDAQ

Among other things, the participants discussed the SEC’s proposal relating to exchange-traded funds.