

MEMORANDUM

TO: File No. S7-14-16

FROM: Neel Maitra
Office of Market Supervision, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: November 22, 2016

SUBJECT: Meeting with Representatives from the Security Traders Association

On November 22nd, 2016, representatives from the U.S. Securities and Exchange Commission (“SEC”) met with representatives from the Security Traders Association (“STA”). The SEC representatives were Gary Goldsholle, John Roeser, David Saltiel, Theodore Samuel Venuti, Andrew Sioson and Neel Maitra from the Division of Trading and Markets. The STA representatives were John Russell and James Toes. The participants in the meeting discussed, among other things, the proposed rulemaking relating to disclosure of order handling information [Release No. 34-78309].