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MEMORANDUM

TO: File No. S7-14-16

FROM: Neel Maitra
Attorney-Adviser
Office of Market Supervision, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: June 6, 2017

SUBJECT: Meeting with Representatives from Rosenblatt Securities Inc., et al.

On June 6, 2017, Gary Goldsholle, David Shillman, John Roeser, David Saltiel, Michael E. Coe, Daniel M. Gray, Theodore Samuel Venuti, Tyler Raimo, Yue Ding, Arisa Kettig, Michael Bradley, Matthew Cursio, Steve Kuan, Andrew Sioson, and Neel Maitra, from the Division of Trading and Markets, met with the following individuals.

Justin Schack, Rosenblatt Securities Inc.;

Joe Gawronski, Rosenblatt Securities Inc.;

Joe Benanti, Rosenblatt Securities Inc.;

Andrew Cameron, Wellington Management;

James Andrus, CalPERS;

Justin Kane;

Mark Allan, Scopia Capital Management LP; and

Melissa Hinmon, Glenmede Investment Management LP.

The discussion concerned, among other things, the proposed rulemaking relating to disclosure of order handling information [Release No. 34-78309].