

## MEMORANDUM

**To:** File No. S7-13-18

**From:** Nicholas J. Losurdo  
Counsel to Commissioner Elad L. Roisman

**Date:** February 27, 2019

**Re:** Meeting with Representatives from Investment Company Institute (“ICI”) and Members

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On February 27, 2019, Commissioner Elad L. Roisman and his Counsels, Nicholas Losurdo and Elizabeth Blase, met with the following representatives from ICI and an ICI member:

- Shelly Antoniewicz, ICI
- Joanne Kane, ICI
- Dorothy Donohue, ICI
- Jay Herold, MFS Investment Management

Among the topics discussed was the Commission’s request for comments on the processing fees charged by intermediaries for distributing materials other than proxy materials to fund investors. The attendees have submitted letters to the comment file on this matter.