

MEMORANDUM

To: Business Continuity and Transition Plans File

From: Zeena Abdul-Rahman
Senior Counsel, Division of Investment Management

Date: August 10, 2016

Re: Meeting with Representatives of Berkeley Research Group LLC

On August 10, 2016, Zeena Abdul-Rahman (Senior Counsel, Division of Investment Management (“IM”)), John Foley (Senior Counsel, IM), Kathleen Joaquin (Senior Financial Analyst, IM) and Alpa Patel (Branch Chief, IM) held a meeting with the following representatives of Berkeley Research Group, LLC:

- Michael Athanason, Managing Director
- Finbarr O’connor, Managing Director
- Joe Woodmansee, Director
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Among other things, the participants discussed the Commission’s proposal on Business Continuity and Transition Plans.