

MEMORANDUM

TO: File No. S7-12-23

FROM: Susan Poklemba
Counsel to Commissioner Caroline Crenshaw

RE: Meeting with representatives of Robinhood

On May 13, 2024, Commissioner Caroline Crenshaw and Susan Poklemba spoke with the following representatives of Robinhood:

Matt Billings, President of Robinhood Financial LLC and Robinhood Securities, LLC
Lucas Moskowitz, Deputy General Counsel
John Markle, Deputy General Counsel
Mack McCain, Associate General Counsel
Josh Dutill
Ben Brown, Blue Ridge Law & Policy

Among the topics discussed were the Predictive Data Analytics proposal (File No. S7-12-23).