

## MEMORANDUM

**TO:** File No. S7-12-23

**FROM:** Herman Brown, Senior Counsel, Division of Investment Management

**RE:** Meeting with BlackRock

**DATE:** July 11, 2024

---

On July 11, 2024, staff of the U.S. Securities and Exchange Commission's (the "Commission") Division of Investment Management ("IM"), Division of Trading and Markets ("TM"), and Division of Economic and Risk Analysis ("DERA") held a virtual meeting with representatives from BlackRock, Inc. ("BlackRock"). Participants included Sarah ten Siethoff (Deputy Director, IM), Sirimal Mukerjee (Senior Special Counsel, IM), Herman Brown (Senior Counsel, IM) Ted Uliassi (Senior Counsel, IM), Devin Ryan (Assistant Director, TM), Kyra Grundeman (Special Counsel, TM), James Wintering (Special Counsel, TM), Glenn Damiano-Szafran (Special Counsel, TM), Anand Das (Senior Special Counsel), Charles Lin (Financial Economist, DERA), Lucretia Zinnen (Financial Economist, DERA), as well as the following representatives from BlackRock:

- Justin Williams, Director; Digital Enterprise Legal Group
- Ben Tecmire, Head of Regulatory Affairs;
- Michael Winnike, Director, Market Structure; and
- Ben Harney, Outside Legal Counsel.

Among the topics discussed were the Commission's proposed rule on *Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers* (File No. S7-12-23).