

## MEMORANDUM

*June 14, 2024*

**TO:** File No. S7-12-23

**FROM:** Jacob D. Krawitz

Senior Special Counsel, Office of the Director of the Division of  
Investment Management

**RE:** Meeting with Investment Advisers Association (IAA)

On June 14, 2024, Jacob Krawitz of the SEC spoke with Gail Bernstein from the IAA. The participants discussed, among other topics, the Commission's proposal relating to Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers.