

To: Comment File S7-12-23
From: Samantha Ostrom, *Policy Counsel, Office of the Chair*
Date: June 12, 2024
Re: Meeting with Investment Adviser Association (IAA)

On June 12, 2024, Barb Roper, Lily Bailey and Samantha Ostrom from the Office of the Chair met with the following representatives from IAA: Gail Bernstein and Sanjay Lamba. Among other matters, they discussed *Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers (File No. S7-12-23)*.