

To: Comment File S7-12-23
From: Samantha Ostrom, *Policy Counsel, Office of the Chair*
Date: June 10, 2024
Re: Meeting with SIFMA

On June 10, 2024, Barb Roper, Lily Bailey and Samantha Ostrom from the Office of the Chair met with the following representatives from SIFMA: Melissa MacGregor and Kevin Ehrlich. Among other matters, they discussed *Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers (File No. S7-12-23)*.