

To: Comment File S7-12-23
From: Samantha Ostrom, *Policy Counsel, Office of the Chair*
Date: May 28, 2024
Re: Meeting with Fidelity

On May 28, 2024, Barb Roper, Lily Bailey and Samantha Ostrom from the Office of the Chair met with the following representatives from Fidelity: Kristy Croushore, Karim Lynn, and Jay Haines. Among other matters, they discussed *Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers (File No. S7-12-23)*.