

## MEMORANDUM

TO: File No. S7-12-23

FROM: Parisa Haghshenas – Counsel to Commissioner Jaime Lizárraga – U.S. Securities and Exchange Commission

RE: Meeting with Investment Company Institute (ICI)

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On April 29, 2024, Parisa Haghshenas, Brandon Hill, Diem-Mi Lu, and Laura D’Allaird met with the following representatives:

- Sarah Bessin, ICI
- Mitra Surrell, ICI
- Danielle Nicholson Smith, T. Rowe Price
- Jonathan Siegel, T. Rowe Price
- Stephanie Hui, Dimensional Fund Advisors LP
- Erik Olsen, Vident
- Katie Gorham, Capital Group
- Naseem Nixon, Capital Group
- Kellin Clark, Capital Group
- Ben Tecmire, BlackRock
- Justin Williams, BlackRock

Among the topics discussed was the Commission’s proposed rule on Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers.