

To: File Nos. S7-12-23; S7-02-22; S7-04-23
From: Samantha Ostrom, *Policy Counsel, Office of the Chair*
Date: April 18, 2024
Re: Meeting with BlackRock

On April 18, 2024, Samantha Ostrom, Policy Counsel to the Chair, met with Ben Tecmire, Head of Regulatory Affairs at BlackRock, and Ben Harney, Principal at Rich Feuer Anderson. Topics discussed included the Commission’s proposed rules entitled *Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers* (File No. S7-12-23); *Amendments Regarding the Definition of “Exchange” and Alternative Trading Systems (ATSs) That Trade U.S. Treasury and Agency Securities, National Market System (NMS) Stocks, and Other Securities* (File No. S7-02-22); and *Safeguarding Advisory Client Assets* (File No. S7-04-23).