

MEMORANDUM

TO: Files No. S7-12-23

FROM: Brandon Hill – Counsel to Commissioner Jaime Lizárraga – U.S. Securities and Exchange Commission

RE: Meeting with Representatives from Charles Schwab

DATE: February 21, 2024

On February 21, 2024, counsels to Commissioner Jaime Lizárraga, Parisa Haghshenas, Brandon Hill, and Diem-Mi Lu met with the following individuals:

- Jeff Brown (SVP, Legislative and Regulatory Affairs)
- Peter Roberson (Managing Director, Legislative and Regulatory Affairs)
- John Roeser (Managing Director and Chief Counsel of the Trading, Markets and Operations Legal Group)

Among the topics discussed was the Commission's proposed rule on predictive data analytics.