

MEMORANDUM

March 21, 2024

TO: File No. S7-12-23

FROM: Holly Hunter-Ceci
Office of Commissioner Mark T. Uyeda

RE: Meeting with Members of the Investment Company Institute (“ICI”)

On March 21, 2024, Commissioner Mark T. Uyeda and his counsel, Holly Hunter-Ceci, met virtually with the following:

- Ben Tecmire, BlackRock
- Justin Williams, BlackRock
- Naseem Nixon, Capital Group
- Kellin Clark, Capital Group
- Katie Gorham, Capital Group
- Stephanie Hui, Dimensional Fund Advisors LP
- Sarah Bessin, ICI
- Mitra Surrell, ICI
- Jonathan Siegel, T. Rowe Price
- Danielle Nicholson Smith, T. Rowe Price
- Erik Olsen, Vident

Among other matters, the participants discussed their comment letter submitted in response to the predictive data analytics proposal.