

## MEMORANDUM

TO: File Nos. S7-12-23 and S7-04-23

FROM: Parisa Haghshenas – Counsel to Commissioner Jaime Lizárraga – U.S. Securities and Exchange Commission

RE: Meeting with Alternative Credit Council

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On March 21, 2024, Commissioner Lizárraga, Parisa Haghshenas, Diem-Mi Lu, and Laura D’Allaird met with the following representatives:

- Jiri Krol, AIMA/ACC
- Joe Englehardt, AIMA/ACC
- Nick Pernas, AIMA
- James Hopegood, AIMA
- Jack Wilkinson, TigerHill Partners

Among the topics discussed were the Commission’s proposed rules on Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers and Safeguarding Advisory Client Assets.