

To: File No.: S7-12-23  
From: Office of Public Engagement  
Date: 3/14/24  
Re: Meeting with SEC Investor Advisory Committee

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On March 4, 2024, Chair Gensler and SEC staff met with members of the SEC Investor Advisory Committee (IAC) to discuss, among other matters, the Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers proposal, including the IAC's recommendations regarding the proposal.

Members of the SEC included:

- Chair Gary Gensler
- Barb Roper, Senior Advisor
- Danielle Specce, Attorney Advisor
- Tonia Tornatore, Senior Enforcement and Auditing Counsel

Representatives from the IAC included:

- Christopher Mirabile, Chair, IAC, and Senior Managing Director, Launchpad Venture Group
- Brian Hellmer, Assistant Secretary and Chair, Disclosure Subcommittee, IAC, and former CIO, Global Public Market Strategies, State of Wisconsin Investment Board
- James Andrus, Chair, Investor as Owner Subcommittee, IAC, and Vice President of Sustainability Global Markets, Franklin Templeton
- Jamila Abston Mayfield, Chair, Investor as Purchaser Subcommittee, IAC, and Founder & CEO, The Jamila Mayfield Group, LLC
- Cien Asoera, Former Chair, Investor as Purchaser Subcommittee, IAC, and Financial Advisor, Edward Jones
- Andrew Park, Chair, Market Structure Subcommittee, IAC, and Senior Policy Analyst, Americans for Financial Reform
- Paul Roye, Moderator, Access and Inclusion Working Group, IAC, and Former Senior Vice President and Senior Counsel, Fund Business Management Group