

To: Comment File S7-12-23
From: Barbara Roper, Senior Advisor to the Chair
Date: March 12, 2024
Re: Meeting with Public

On March 6, 2024, members of the Commission staff met with representatives of Public, a digital investing platform, to discuss the Commission's Proposed Rule to address Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers (File No. S7-12-23). External participants included Steven Sikes, Public COO, and Prashant Yerramalli, Public VP. Internal participants included: Barbara Roper, Samantha Ostrom, and Lily Bailey from the Chair's Office.