

**To:** Comment File S7-12-23  
**From:** Barbara Roper, Senior Advisor to the Chair  
**Date:** March 12, 2024  
**Re:** Meeting with ICI

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On March 11, 2024, members of the Commission staff met with representatives of the Investment Company Institute to discuss the Commission's Proposed Rule to address Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers (File No. S7-12-23). External participants included: Sarah Bessin, ICI; Mitra Surrell, ICI; Danielle Nicholson Smith, T. Rowe Price; Erik Olsen, Vident; Katie Gorham, Capital Group; Naseem Nixon, Capital Group; Kellin Clark, Capital Group; Ben Tecmire, BlackRock; Justin Williams, BlackRock. Internal participants included: Barbara Roper, Samantha Ostrom, and Lily Bailey from the Chair's Office.