

MEMORANDUM

To: File No. S7-12-23
From: William Middlebrooks, Counsel to Commissioner Hester M. Peirce
Re: Meeting with Representatives of Public

On 7 March, 2024 Commissioner Hester M. Peirce and Richard Gabbert, Sumeera Younis, and William Middlebrooks, Counsels to Commissioner Peirce, met with the following representatives from Public:

- Stephen Sikes
- Prashant Yerramalli

Among the topics discussed was the Commission's rulemaking proposal on:

- *Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker Dealers and Investment Advisers*