

MEMORANDUM

TO: File No. S7-12-23

FROM: Parisa Haghshenas – Counsel to Commissioner Jaime Lizárraga – U.S. Securities and Exchange Commission

RE: Meeting with Managed Funds Association

On February 14, 2024, Parisa Haghshenas, Diem-Mi Lu, and Brandon Hill met with following representatives of Managed Funds Association:

- Jennifer Han – Managed Funds Association
- Rachel Grand – Managed Funds Association
- Aaron Friedman – Citadel LLC

Among the topics discussed was the Commission's proposed rule on Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker- Dealers and Investment Advisers.