

MEMORANDUM

TO: File No. S7-12-23

FROM: Marc Sharma, Assistant Director and Chief Counsel  
SEC Office of the Investor Advocate

DATE: February 14, 2024

RE: In-Person Meeting with Representative of the Consumer Federation of America

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On February 13, 2024, Cristina Martin Firvida, Investor Advocate, and Marc Sharma, Assistant Director and Chief Counsel of the Office of the Investor Advocate, met in person with Micah Hauptman, Director of Investor Protection at the Consumer Federation of America.

The participants discussed, among other topics, the Commission's rule proposal entitled *Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers* [Release Nos. 34-97990; IA 6353].