

To: Comment File S7-12-23
From: Samantha Ostrom, *Policy Counsel, Office of the Chair*
Date: February 12, 2024
Re: Meeting with ICI

On February 12, 2024, the Chair, staff from the Office of the Chair, and the Director for the Division of Investment Management met with representatives from ICI about the Commission's Proposed Rule to address Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers (File No. S7-12-23).

Attendees:

- Chair Gensler
- William Birdthistle, Director, Division of Investment Management
- Heather Slavkin Corzo, Policy Director, Office of the Chair
- Barb Roper, Senior Advisor, Office of the Chair
- Sam Ostrom, Policy Counsel, Office of the Chair
- Lily Bailey, Office of the Chair
- Sarah Bessin, Deputy General Counsel – Markets, SMAs & CITs, ICI
- Mitra Surrell, Associate General Counsel, ICI
- Ben Tecmire, Director, Global Public Policy Group, BlackRock
- Justin Williams, Director, Legal & Compliance, BlackRock
- Kellin Clark, VP, Capital Group
- Katie Gorham, Legal & Compliance, Capital Group
- Naseem Nixon, Counsel, Capital Group
- Stephanie Hui, Lead Counsel, Public Policy & VP, Dimensional
- Jonathan Siegel, Managing Legal Counsel, T. Rowe Price
- Danielle Nicholson Smith, Vice President and Managing Counsel – U.S. Communications and Digital Services, T. Rowe Price
- Erik Olsen, CCO, Vident