

**To:** Comment File S7-12-23  
**From:** Barbara Roper, Senior Advisor to the Chair  
**Date:** February 7, 2024  
**Re:** Meeting with James Tierney

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On February 7, 2024, members of the Commission staff met with James Tierney of Chicago-Kent College of Law - Illinois Institute of Technology to discuss the Commission's Proposed Rule to address Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers (File No. S7-12-23). Internal participants included: Sarah ten Siethoff from the Division of Investment Management, Emily Westerberg Russell from the Division of Trading and Markets, and Barbara Roper, Samantha Ostrom, and Lily Bailey from the Chair's Office.