

MEMORANDUM

TO: File No. S7-12-23
FROM: Nathan R. Schuur, Counsel to Commissioner Caroline Crenshaw
RE: Meeting with Vanguard
DATE: February 7, 2024

On February 7, 2024, Janna Berke, Susan Poklemba, and Nathan Schuur, counsels to Commissioner Crenshaw, met with representatives of The Vanguard Group, Inc. Participants included:

- George Gilbert,
- Lindsay Kolb,
- Christyn Rossman, and
- Nathan Zahm.

The participants discussed, among other things, the Commission's proposal titled Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers (Jul. 26, 2023) [88 FR 53960 (Aug. 9, 2023)].