

MEMORANDUM

TO: File No. S7-12-23

FROM: Jill S. Henderson, Senior Counsel
Division of Economic and Risk Analysis

RE: Meeting with James Tierney, Kyle Langvardt, and Benjamin Edwards

DATE: January 2, 2024

On December 29, 2023, SEC Chair Gary Gensler and Jessica Wachter, Director of the Division of Economic and Risk Analysis, met with James Tierney (Assistant Professor, Chicago-Kent College of Law), Kyle Langvardt (Assistant Professor of Law, University of Nebraska), and Benjamin Edwards (Professor of Law, William S. Boyd School of Law, University of Nevada, Las Vegas). Topics discussed included the Commission's proposal on *Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers*.