

MEMORANDUM

December 15, 2023

TO: File Numbers S7-12-23

FROM: Jacob D. Krawitz
Policy Counsel, Office of the Chair

RE: Meeting with Representatives from Paul, Weiss, Rifkind, Wharton & Garrison LLP (Paul, Weiss)

On December 15, 2023, the staff members from the Office of the Chair listed below met with the following representative from Paul, Weiss:

SEC Participants:

Barbara Roper
Jacob Krawitz
Lily Bailey

Paul, Weiss Participants:

Katherine Forrest
Anna Gressel

The participants discussed, the Commission's proposal relating to Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers.