

MEMORANDUM

December 7, 2023

TO: File Numbers S7-12-23

FROM: Jacob D. Krawitz
Policy Counsel, Office of the Chair

RE: Meeting with Fidelity

On December 7, 2023, the staff members from the Office of the Chair listed below met with the following representative of Fidelity:

SEC Participants:

Barbara Roper
Jacob Krawitz

Fidelity Participants:

Kristy Croushore

The participants discussed, the Commission's proposal relating to Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers.