

To: File No.: S7-12-23  
From: Office of Public Engagement  
Date: 12/5/23  
Re: Meeting with Public Citizen

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On December 5, 2023, Chair Gensler and SEC staff met with Public Citizen to discuss the Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers proposal.

Members of the SEC included:

- Chair Gary Gensler
- Jay Krawitz, Investment Management Counsel
- Barb Roper, Senior Advisor
- Lily Bailey, Special Assistant

Representatives from Public Citizen included:

- Rob Weissman, President
- Lisa Gilbert, Executive Vice President
- Richard Anthony, Emerging Tech Advocate
- Bart Naylor, Financial Policy Advocate