MEMORANDUM

To: File Nos. S7-26-22; S7-12-23; S7-10-22

From: William Middlebrooks, Counsel to Commissioner Hester M. Peirce

Re: Meeting with Representatives of the Chamber of Commerce

On 1 December, 2023 Commissioner Hester M. Peirce and Ammon Simon, Sumeera Younis, and William Middlebrooks, Counsels to Commissioner Peirce, met with the following Chamber representatives:

- Tom Quaadman
- Bill Hulse
- Kristen Malinconico
- Sarah Almgren
- Evan Williams

Among the topics discussed were the Commission's rulemaking proposals on:

- Open-End Fund Liquidity Risk Management Programs and Swing Pricing; Form N-PORT Reporting;
- Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker Dealers and Investment Advisers; and
- The Enhancement and Standardization of Climate-Related Disclosures for Investors