

## MEMORANDUM

*November 3, 2023*

**TO:** File No. S7-12-23

**FROM:** Jacob D. Krawitz  
Policy Counsel, Office of the Chair

**RE:** Meeting with Morningstar

On November 3, 2023, the SEC staff members listed below met with the following representatives from Morningstar:

**SEC Representatives:**

Jacob Krawitz (Chair's Office)  
Sai Rao (Chair's Office)  
Corey Klemmer (Chair's Office)  
Lily Baily (Chair's Office)  
Lori Price (Office of Credit Ratings)

**Morningstar Representatives:**

Aron Szapiro  
Banu Unal  
Detlef Scholz  
Jasmin Sethi

The participants discussed, among other topics, the Commission's proposal relating to Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers.