

MEMORANDUM

To: File No. S7-12-23
From: Sumeera Younis, Counsel to Commissioner Hester M. Peirce
Re: Meeting with Representative of Schulte, Roth and Zabel

On 25 October, 2023 Commissioner Hester M. Peirce, and Sumeera Younis, Counsel to Commissioner Peirce, met with the following Schulte, Roth and Zabel representatives:

- Mike Didiuk: Partner, Schulte, Roth and Zabel
- Kelly Koscuizka: Partner, Schulte, Roth and Zabel

Among the topics discussed was the Commission's rulemaking proposal on:

- *Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers*