

MEMORANDUM

TO: File No. S7-12-23

FROM: Parisa Haghshenas – Counsel to Commissioner Jaime Lizárraga – U.S. Securities and Exchange Commission

RE: Edward Jones

DATE: October 16, 2023

On October 16, 2023, Parisa Haghshenas, Brandon Hill, and Diem-Mi Lu, met with the following representatives of Edward Jones:

- Lisa Bertain
- Jessica Hopper
- Bridget Farrell

Among the topics discussed were the Commission's proposed rule on Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers.